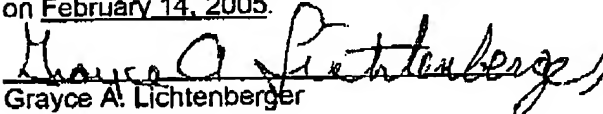


Application No. 10/063,601

Certificate of Transmission

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:

Nicholas E. Roddy, et al.

Group Art Unit: 2113

Serial No. 10/063,601

Examiner: Guyton, Philip A.

Filed: 05/03/2002

For: Method And System For Analyzing  
Fault And Quantized Operational Data  
For Automated Diagnostics Of  
Locomotives

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

- ☐ 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1st Office Action)
- ☒ 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ 3. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
(after Final Office Action or Allowance but before issue fee payment)

Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 & 1.98:

- ☒ 4. A list of documents on form PTO-1449 is enclosed herewith.

Application No. 10/063,601

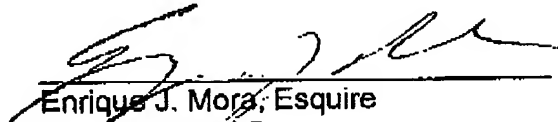
This paper is submitted in accordance with:

- ☐ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 6. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is earlier; and
- ☐ 7. The required certification made in item 10 below; or
- ☒ 8. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.
- ☐ 9. 37 CFR §1.97(d): [after Final Office Action or Allowance, but before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 11 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and, if applicable, include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- b) The required Certification is stated in item 10 below.
- ☐ 10. Certification
- ☐ a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- or
- ☐ b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 11. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0846.

Application No. 10/063,601

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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PTQ/SB/08A (08-03)

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/063,601
				Filing Date	05/03/2002
				First Named Inventor	Nicholas E. Roddy
				Art Unit	2113
				Examiner Name	Guyton, Philip A.
				Attorney Docket Number	121891
Sheet	1	of	2		

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7 <sup>o</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>2</sup> (if known)				

Examiner Signature		Date Considered	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/063,601
		Filing Date	05/03/2002
		First Named Inventor	Nicholas E. Roddy
		Art Unit	2113
		Examiner Name	Guyton, Philip A.
		Attorney Docket Number	121891
Sheet	2	of	2

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	ROMAN TROBEC and IZIDOR JEREJIC, "Optimization Of Diagnostic Examination," Joint International Conference On Vector And Parallel Processing, Berlin De 1994, pp. 761-772, XP-000974309	

Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Language Translation is attached.

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